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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: S. Deliwala :
Examiner: Not yet known
Serial No.: 10/078,203 :
Group No.: 3653
Filed: February 19, 2002 :
Attorney Docket No.: 53168-500301D5
For: Focusing Mirror And Lens / AS MENDE)
INTERGATED OPTICAL/ELECTRONIC ...

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicant hereby states that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing. In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Forms PTO/SB/08A-B (2 sheets) which have not yet been cited in this application, have been provided.

* * * * *

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Daniel H. Golub Signature DH Golub Date of Deposit March 11, 2003

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| U.S. Patent/Publication No. | Patentee | Issue/Publication Date |
|-----------------------------|-------------------|------------------------|
| 4,904,039 A | Soref | February 27, 1990 |
| 5,355,237 A | Lang et al. | October 11, 1994 |
| 5,559,912 A | Agahi et al. | September 24, 1996 |
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| Foreign/Publication No. | Patentee/Applicant | Issue/Publication Date |
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| JP 2000-304956 | Oki Electric Ind Ltd | November 02, 2000 |
| JP 61-11708 | Nippon Telegraph and Telephone Corp | January 20, 1986 |

Other References

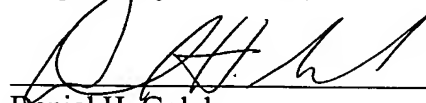
Xiao, X., et al. Fabry-Perot Optical Intensity Modulator at 1.3 mm in Silicon, IEEE Photonics Technology Letters, vol 3, No. 3, March 1991, pp. 230-231.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Forms PTO/SB/08A-B with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,



Daniel H. Golub

Reg. No. 33,701

Attorney for Applicant

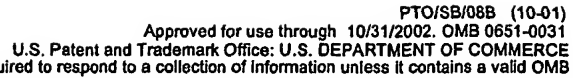
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Dated: March 11, 2003



Substitute for form 1449B/PTO

(use as many sheets as necessary)

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| Sheet | 2 | of | 2 |
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Complete if Known

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|------------------------|-------------------|
| Application Number | 10/078,203 |
| Filing Date | February 19, 2002 |
| First Named Inventor | S. Delavala |
| Group Art Unit | 3653 |
| Examiner Name | Not yet known |
| Attorney Docket Number | 53168-500301D5 |

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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